

**Schedule F of  
Form ADV  
Continuation Sheet for Form ADV Part II**

Applicant: The Montgomery Financial Group, Inc.	SEC File Number: 801-	Date: 11-22-2006
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: <b>The Montgomery Financial Group, Inc.</b>		IRS Empl. Ident. No.: <b>Pending</b>
Item of Form (identify)	Answer	
Part II, No. 1-A (1)	The applicant- The Montgomery Financial Group, Inc.- manages client accounts for a percentage of the assets under its management. The annual negotiable fee ranges from ½ of 1% to 2%, depending on the size and complexity of a client's account. The fee is paid monthly, at the start of each month. If a client cancels, any prepaid fee will be refunded on a pro-rated basis.	
Part II, NO. 1-A (3)	The applicant provides financial planning on investments. It may do so on an hourly basis, and the negotiable fee is up to \$150 per hour and is paid after the consultations. It may also prepare written financial plans, which range from \$500 to \$2,000, depending on the complexity of a client's financial situation. Half the negotiable fee is due up front, the rest upon presentation of the plan. If a client cancels, any prepaid fees will be refunded on a pro-rated basis.	
Part II, No. 1-A (7)	The applicant provides financial planning advice on matters not involving securities, including, but not limited to, tax planning, insurance planning, college funding planning, retirement planning and estate planning. It may do so on an hourly basis, and the negotiable fee is up to \$150 per hour and is paid after the consultations. It may also prepare written financial plans, which range from \$500 to \$2,000, depending on the complexity of a client's financial situation. Half the negotiable fee is due up front, the rest upon presentation of the plan. If a client cancels, any prepaid fees will be refunded on a pro-rated basis.	
Part II, No. 6	Montgomery, Herbert Elwin, the applicant's president: Born in 1951; BA in management from Eastern Nazarene College (1999); during the past 5 years has been a securities registered representative with Ameriprise (2000-2006).	
Part II, No. 9-E	On occasion, Herb Montgomery may buy or sell securities that he recommends to clients. There is no conflict of interest as the securities are widely held and publicly traded and he is too small an investor/advisor to affect the market and he places client interests before his own interests.	
Part II, No. 12-A (1&2)	The applicant manages client accounts on a discretionary basis. It limits its discretionary authority by prohibiting itself and Herb Montgomery from withdrawing funds and/or securities from client accounts. In addition, discretionary transactions are limited to general securities, mutual funds and government securities.	
Part II, No. 12-B	Clients wishing to implement the applicant's advice are free to select any broker they wish, and are so informed. Those wishing for the applicant to recommend a broker will get a recommendation based on the broker's costs, skills, reputation, dependability and compatibility with the client. NOTE: Clients may be able to obtain lower commissions and fees from other brokers and the value of products, research and services given to the applicant is not a factor in determining the selection of broker/dealers or the reasonableness of their commissions.	

Complete amended pages in full, circle amended items and file with execution page (page 1).

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Applicant: The Montgomery Financial Group, Inc.	SEC File Number: 801-	Date: 11-22-2006
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other schedules.)

Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: <b>The Montgomery Financial Group, Inc.</b>		IRS Empl. Ident. No.: <b>Pending</b>
Item of Form (identify)	Answer	
NOTE:	The disciplinary history, if any, of investment advisors and investment advisor representatives may be obtained from the Massachusetts Securities Division by calling (617) 727-3548	

Complete amended pages in full, circle amended items and file with execution page (page 1).